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Kent E. Genin, Reg. No. 37,834

Name of Applicant, Assignee or
Registered Representative

Kent E. Genin

Signature

8/5/2002

Date of Signature

3762
BET
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#4 | IDS

Our Case No.: 3614/63

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

A. Chow et al.

Serial No.: 10/056,793

Filing Date: January 23, 2002

For: METHODS FOR IMPROVING
DAMAGED RETINAL CELL
FUNCTION

Examiner: Unknown

Group Art Unit No.: 3762

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INFORMATION DISCLOSURE STATEMENT TECHNOLOGY CENTER R3700

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to the obligation under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. § 1.97-1.99, Applicant hereby submits the following references for consideration by the Examiner. Copies of each have been enclosed along with the form PTO-1449.



U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor(s)</u>
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3,766,311	10/16/1973	Boll
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6,230,057 B1	05/08/2001	Chow et al.
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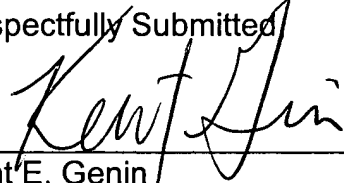
Chow, A.Y., and Chow, V.Y., Copy of U.S. application serial No. 09/564,841 filed on May 4, 2002, 29 pages.

EXPLANATION OF THE REFERENCES

As of the day of filing of this information disclosure statement, a first office action on the merits has not been received by the undersigned. Accordingly, no fee for consideration of the information provided with this disclosure statement is included herewith, as permitted by 37 C.F.R. § 1.97(b)(3). However, if an office action on the merits is mailed on a date prior hereto, Applicant hereby requests and authorizes the Commissioner to charge Deposit Account 23-1925 for the appropriate fee of \$180 as set forth in 37 C.F.R. § 1.97(c) for consideration of the information set forth in this disclosure statement.

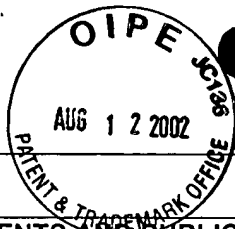
Applicant requests to have these references included in the record of this application. Applicant further requests that the Examiner review the entire disclosure of each reference. Applicant does not represent any of these references to be prior art and Applicant reserves the right to disqualify any references by the showing of an earlier date of invention, if appropriate.

Respectfully Submitted

A handwritten signature in cursive script, appearing to read "Kent E. Genin", written over a horizontal line.

Kent E. Genin
Reg. No. 37,834
Attorney for Applicant

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-7732



FORM PTO-1449	SERIAL NO. 10/056,793	CASE NO. 3614/63
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE January 23, 2002	GROUP ART UNIT 3762
(use several sheets if necessary)		APPLICANT(S): A. Chow et al.

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	2,760,483	08/28/1956	Tassicker		
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EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FOREIGN PATENT DOCUMENTS

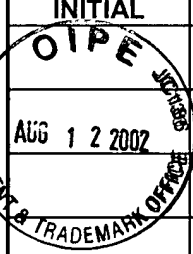
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES	NO
	A50	DE 195 29 371 C2	02/13/97	Germany			
	A51	GB 2 229 543 A	09/26/90	Great Britain			
	A52	EP 0 084 621 A2	11/23/82	EPO			
	A53	EP 0 233 789	08/26/87	EPO			
	A54	EP 0 501 904 A2	09/02/92	EPO			

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A55	Abrams, Dr. Susan B., "Implanted photodiodes could restore lost vision", <i>Biophotonics Research</i> , 1997, 2 pages.
	A56	Acheson, A., P.A. Barker, R.F. Alderson, F.D. Miller, et al., "Detection of Brain-Derived Neurotrophic Factor-Like Activity in Fibroblasts and Schwann Cells: Inhibition by Antibodies to NGF", <i>Neuron</i> , Vol. 7, 1991, pp 265-75.
	A57	Ando, Haruhisa, et al. "Design Consideration and Performance of a New MOS Imaging Device", <i>IEEE</i> , 1985, 6 pages.
	A58	Armington, J.C., Brigell, M., "Effects of Stimulus Location and Pattern Upon the Visually Evoked Cortical Potential and the Electroretinogram," <i>Intern. J. Neuroscience</i> , Vol. 14, 1981, pp 169-178.
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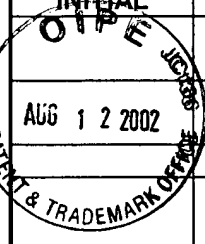
FORM PTO-1449	SERIAL NO. 10/056,793	CASE NO. 3614/63
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(use several sheets if necessary)	APPLICANT(S): A. Chow et al.	

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A62	Boettner, E.A., Wolter, J.R., "Transmission of the Ocular Media," <i>Investigative Ophthalmology</i> , Vol. 1, 1962, pp 776-783.
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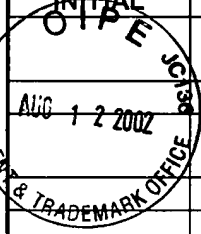
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	A116	Rovamo, J., Virsu, V., "An Estimation and Application of the Human Cortical Magnification Factor," <i>Exp Brain Res.</i> , Vol. 37, 1979, pp 495-510.
	A117	Rubin, M.L., <i>Optics for Clinicians</i> , Gainesville, TRIAD Scientific Publishers, 1974, pp 119-123.
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	A121	Sze, S.M., "Physics of Semiconductor Devices", 2 nd Ed., A Wiley-Interscience Publication, John Wiley & Sons, (undated).
	A122	Tasman, E., ed. <i>Duane's Foundations of Clinical Ophthalmology, Volume 3</i> , Philadelphia, Lippincott, 1992; chapter 13:20-25, chapter 60:1-112.
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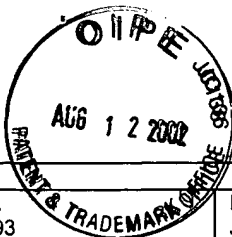
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TRANSMITTAL LETTER			Case No. 3614/63
Serial No. 10/056,793	Filing Date January 23, 2002	Examiner Unknown	Group Art Unit 3762
Inventor(s) A. Chow et al.			
Title of Invention Methods For Improving Damaged Retinal Cell Function			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in duplicate); Information Disclosure Statement; PTO Form 1449; One Copy of References A1-A126.

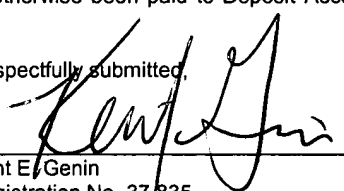
- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity		or	Other Than Small Entity	
Rate	Add'l Fee		Rate	Add'l Fee
x \$9=			x \$18=	
x 42=			x \$84=	
+\$140=			+ \$280=	
Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,


Kent E. Genin
Registration No. 37,835
Attorney for Applicant

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P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

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